

UNIVERSITY OF CALCUTTA

ACADEMIC DEPARTMENT: COMMERCE

FACULTY ACADEMIC PROFILE

1. Full name of the faculty member: Dr. SWAPAN SARKAR

2. Designation: ASSOCIATE PROFESSOR, DEPARTMENT OF COMMERCE

3. Specialization: ACCOUNTING & FINANCE



4. Contact information:

Contact Address (Office): Department of Commerce, University of Calcutta, 87/1, College

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sscom@caluniv.ac.in

5. Academic qualifications:

College/ university from which the degree was obtained	Abbreviation of the degree
University of Calcutta	B.Com. (Hons.)
University of Calcutta	M.Com

University of Calcutta	M.Phil.
University of Calcutta	Ph.D.

6. Professional Qualification

College/ university from which	Abbreviation of the degree
the degree was obtained	
Institute of Cost Accountants of India	CMA
Institute of Chartered Financial Analysts of India	CFA
Institute of Chartered Financial Analysts of India	MSF

7. Positions held/holding:

• Teaching:

Role	Institution	Duration
Assistant Professor,	Harimohan Ghose College	From 27.06.2008 to
Department of Commerce		08.10.2015
Assistant Professor,	University of Calcutta	From 09.10.2015 to
Department of Commerce		26.06.2021
Associate Professor,	University of Calcutta	From 27.06.2021 till date
Department of Commerce		

• Other Academic:

Associate Editor, 'Business Studies', Journal of Department of Commerce, University of Calcutta [2018 - 2022]

• Administrative:

Semester-in-charge, MBA(FM) Fourth Semester, Department of Commerce, University of Calcutta [2016 – Till date]

8. Research interests:

- Corporate Financial Accounting and Reporting
- Corporate Governance
- Financial Market
- Financial Risk Management
- Accounting for Crypto Assets

9. Research guidance:

Number of researchers awarded M.Phil. Degree: 06 (Six)

Number of researchers awarded Ph.D. Degree: Nil

Number of researchers pursuing M.Phil.: Nil

Number of researchers pursuing Ph.D.: 05 (Five)

10. Select list of publications:

a) Journals: 22 (Twenty-Two)

- "STRIPS Will it revive the Indian Debt Market?" –published in ICFAI Reader, Vol. XIII, Issue 3, March 2010 (ICFAI Publication).
- "Behavioural Finance: Explaining Irrational Investment Strategies", published in The Management Accountant, Vol.46, No.10, October 2011 (ICWAI Publication).
- "The Glittering Gold ETFs" published in The Management Accountant, Vol.47, No.01, January 2012 (ICWAI Publication).
- "Credit Default Swaps: A Potential Game Changer of Indian Debt Market" published in Research Bulletin, Vol. XXXV, 2012 (ICWAI Publication).
- "Testing Weak Form Efficiency of Indian Stock Market-An Empirical Study on BSE" published in The Management Accountant, Vol.48, No.3, March 2013 (ICWAI Publication).
- "Market Reaction towards Bonus Issue Announcements in India-A Comparative Study between BSE and NSE" published in Research Bulletin, Vol. XXXVII, 2013 (ICWAI Publication)
- "Modeling Risk and Return across Indian Stock Market An Empirical Study on BSE and NSE", published in Research Bulletin, Vol. 41, No. 1, April 2015 (ICAI Publication).
- "Revisiting Random Walk Hypothesis in Indian Stock Market An Empirical Study on BSE" published in Business Studies, Vol. XXXV and XXXVI, No. 1 & 2, January-July 2014 & 2015.
- "Corporate Governance under Changing Corporate Legislations in India" published in The Way, Vol. 4 & 5, 2016 (The Official Journal of Department of Commerce, Naba Ballygunge Mahavidyalaya).

- "Real Estate Investment Trusts Towards a New Era in Indian Real Estate Sector" published in Business Studies; Vol. XXXVII, No. 1 & 2, January-July 2016.
- "Assessing Tracking Risk of ETFs in India An Empirical Study on Selected Index ETFs" published in Research Bulletin, Vol. 42, No. 4, January 2017.
- "Prepaid Payment Instruments in India A Journey towards a Digital Economy" published in Business Studies, Vol. XXXVIII, No. 1 & 2, January-July 2017.
- "Blockchain Accounting The Disruption Ahead" published in The Management Accountant, Vol.53, No. 06, June 2018.
- "Personal Financial Planning Practices of College Faculty Members A Study" published in Business Studies (The Official Journal of Department of Commerce,
 University of Calcutta), Vol. XL, No. 1, January 2019.
- "CSR Practices Trends and Compliance: A Comparative Study between Select Public and Private Sector Companies in India" published in The Management Accountant, Volume 54, No. 03, March 2019.
- "Demonetization Effect on Digital Banking" published in The Management Accountant, Vol. 54, No. 07, July 2019 (ICAI Publication).
- "Corporate Social Responsibility Practices during Post Mandate Period in India—a Study" published in The BESC Journal of Commerce and Management, Vol. 5, July 2019.
- "Indian Start-Ups: Rising to the New Normal" published in Journal of Management Studies and Academic Research, Issue 6, March 2021.
- "The Evolving Role of Insurtech in India: Trends, Challenges and the Road Ahead" published in The Management Accountant, Vol. 56, No. 12, December 2021 (ICAI Publication).
- "Performance Evaluation of ESG Funds in India A Study" published in The Management Accountant, Vol. 57, No. 3, March 2022 (ICAI Publication).

• "Understanding the Impact of Covid-19 Lockdown on the Increase in the Usage of Unified Payment Interface (UPI)" - published (co-authored) in International Journal of Novel Research and Development, Vol. 07, Issue 10, October 2022

b) Books: 07 (Seven)

- Auditing Principles and Practices (Co-authored), published from McGraw Hill Education
- Auditing and Assurance, 2e (Co-authored), published from McGraw Hill education
- Auditing and Assurance (Bengali Edition) (Co-authored), published from McGraw Hill education
- Financial Management (Co-authored), published from McGraw Hill Education
- Performance Evaluation of Mutual Funds in India: A Comparative Study between Traditional Risk Adjusted Measures and DEA Approach (Co-authored), published from LAP LAMBERT Academic Publishing
- Consolidated Financial Statements As Per Indian Accounting Standards, published from Notion Press
- Identifying Performance Determinants Through Ratio Analysis A Study on Indian Manufacturing Firms (Co-authored), published from LAP LAMBERT Academic Publishing

c) Book chapters: 14 (Fourteen)

- Market Reaction around Bonus Announcements in Indian Stock Market: An
 Empirical Study published in Application of Econometric Models for Research in
 Social Science (ISBN: 978-93-88866-08-8), Edited by Dr. Paramita Chakravarty.
- Efficiency Analysis of Select Large and Mid-Cap Equity Funds A DEA Approach published in Innovative Business Practices in a V.U.C.A World, Edited by Dr. Sanjib Kumar Basu et al.
- Tax reforms in India Achievements and the Way Forward published in Understanding India's New Political Economy and Business: Towards a Rapid Transformation (ISBN: 978-93-82112-73-0), Edited by Professor Anirban Ghosh.

- *COVID-19: Challenges and Opportunities for Indian Start-UPs* published in Global Economic Order in the Post Covid-19 Era: Challenges, Opportunities and Strategies (ISBN: 978-81-942547-3-7), Edited by Prof. (Dr.) Ravi Kumar Gupta et al.
- *Impact of Covid-19 on Indian Economy* published in The Changing India Amidst Covid-19 Catastrophe (ISBN: 978-93-90238-28-6), Edited by Dr. Kulwinder Kaur
- Indian Start-Ups amidst Covid-19 Pandemic- The Challenges Ahead published in The Changing India Amidst Covid-19 Catastrophe (ISBN: 978-93-90238-28-6), Edited by Dr. Kulwinder Kaur
- *COVID 19 and Indian Start-ups: The Opportunities Ahead -* published in Emerging Issues in Commerce and Management (ISBN: 978-81-946476-2-1), Edited by Pema Lama and Brahma Chaudhuri.
- *Identifying the Best Measure of Tracking Risk* A Study on Indian Index ETFs published in Commerce and Management (ISBN: 978-81-946476-2-1), Edited by Pema Lama and Brahma Chaudhuri. (co-author: Md. Shahjahan)
- Modelling Volatility of Indian Stock Market amidst Covid-19 Pandemic An Empirical Study on Select BSE Indices - published in Commerce and Management (ISBN: 978-81-946476-2-1), Edited by Pema Lama and Brahma Chaudhuri. (co-author: Dipankar Biswas)
- Indian Tourism and Hospitality Sector Amidst Covid-19 Pandemic: Challenges and the Way Forward published in Economic and Business Challenges in India: Pandemic and After (ISBN: 978-81-949069-0-2), Edited by Dr. Kajalbaran Jana, IAASBB
- Investigating Relative Efficacy of Alternative Forecasting Models An Empirical Study published in Emerging Issues in Banking, Insurance and Financial Services (ISBN: 978-93-90728-02-2), Edited by Pema Lama and Brahma Chaudhuri
- Changing Paradigm of Indian Edtech Industry published in Emerging Issues in Business Research (ISBN: 978-93-90728-48-0), Edited by Pema Lama and Brahma Chaudhuri
- Implementing Integrated Reporting in India Issues and Challenges published in Emerging Issues and Debates in Accounting and Finance (ISBN: 978-93-91572-11-

- 2), Edited by Prof. Manas Chakraborty and Prof. Goutam Bhowmik, Department of Commerce, Gour Banga university
- Covid-19 Impact on Indian Economy and Survival Strategies of Business published in Issues in Accounting and Finance in the Post Covid Scenario (ISBN: 978-93-91572-41-9), Edited by Prof. S K Gandhi et al., IAA, Kolkata Branch

d) Other publications (Book Review): 02 (Two)

- Book Review on 'Quality Control Procedure in Statutory Financial Audit: An Empirical Study by Prof. (Dr.) S. S. Saha and Dr. M. N. Roy'- published in The Management Accountant, Vol. 54, No. 05, May 2019 (ICAI Publication).
- Book Review on 'Project Management and Control by Narendra Singh'- published in The Management Accountant, Vol. 55, No. 03, March 2020 (ICAI Publication).

11. Membership of Learned Societies:

- Associate Member of the Institute of Cost Accountants of India
- Associate Member of the Institute of Chartered Financial Analysts of India (CFA Council)
- Life Member of Indian Accounting Association

12. Invited lectures delivered/Seminar presented:

Sr.	Date of the	Theme of the Seminar	Organized By
No.	Seminar		
1.	17.02.2016	An Overview of Financial	CUCSE-CEFM and Gour Mohan
		Markets	Sachin Mandal Mahavidyalaya
2.	20.02.2016	Capital Market: Issues &	CUCSE-CEFM and Shree Agrasain
		Challenges	College
3.	27.05.2019	Developments in Commerce,	Department of Commerce, BESC in
		Management & Economics	Collaboration with EIRC, ICAI
		in the Present Milieu of	
		VUCA	
4.	03.01.2020	Innovative Business	Department of Commerce &
	&	Practices in a VUCA World	Management Studies, St. Xavier's
	04.01.2020		College, Department of Commerce, C.U
			and Lincoln University College

5.	11.01.2020	Contemporary Issues in	Vidyasagar Evening College, THK
		Commerce and economics	Jain College and ICSSR
6.	04.07.2020	Post Lockdown Economy-	IAA, Kolkata Branch and the Institute
		Challenges before Business	of Cost Accountants of India
		and Accountants	
7.	05.07.2020	Atmanirbhar Bharat –	Pt. Deen Dayal Upadhyay
		Opportunities and	Management College, Meerut
		Challenges with Covid-19	
8.	25.07.2020	Global Economic Order in	Maharaja Agrasen institute of
		the Post Covid-19 Era:	Management Studies
		Challenges Opportunities	
		and Strategies	
9.	12.08.2020	Covid-19: Impact on society,	Netaji Subhas Open University
		education and Economy	
10.	27.08.2020	Tracking Opportunities and	SDM College of Business
	&	Vulnerabilities During	Management Post Graduate Center
	28.08.2020	Pandemic – Techno and	for Management Studies and
		Human Approaches	Research
11.	15.09.2020	Economic and Business	IAA, South Bengal Branch and
	&	Challenges in India –	Swami Vivekananda Institute of
	16.09.2020	Pandemic and After	Management & Computer Science
12.	10.09.2022	Recent Developments in	IAA, Kolkata Branch and
		Corporate Reporting in India	Umeschandra College, Kolkata

13. Awards:

- Received National Scholarship for Madhyamik, Higher Secondary and B.Com.
- Received 'Chartard Accountant of India' Prize for securing highest marks in Accountancy among the students taken admission in Calcutta University for M.Com.
- University of Calcutta Gold Medal for securing First rank in M.Com.
- Gold Inscribed Silver Medal from Calcutta University Commerce Alumni Association for securing First rank in M.Com.

- C.U Platinum Jubilee award for securing First rank in M.com.
- An award for securing the All India Second rank in CMA from EIRC, ICAI
- An award for securing the All India Second rank in CMA from Bharatiya Sathi Sangathan, New Delhi.
- Best Paper Award at the National Level Seminar on 'Developments in Commerce, Management and Economics in the Present Milieu of VUCA' organized by Bhawanipur Education Society College in Collaboration with ICAI.
- Best Paper Award at the International Conference on 'Innovative Business Practices in a VUCA World' organized by St. Xavier's College (Autonomous), Kolkata in Collaboration with Department of Commerce, University of Calcutta and Lincoln University College, Malaysia.

James

Associate Professor, Department of Commerce
University of Calcutta

Date: 19.10.2022